

# Cost-effectiveness of first-line antiretroviral therapy for HIV-infected African children less than 3 years of age

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**Background:** The International Maternal, Pediatric, and Adolescent Clinical Trials P1060 trial demonstrated superior outcomes for HIV-infected children less than 3 years old initiating antiretroviral therapy (ART) with lopinavir/ritonavir compared to nevirapine, but lopinavir/ritonavir is four-fold costlier.

**Design/methods:** We used the Cost-Effectiveness of Preventing AIDS Complications (CEPAC)-Pediatric model, with published and P1060 data, to project outcomes under three strategies: no ART; first-line nevirapine (with second-line lopinavir/ritonavir); and first-line lopinavir/ritonavir (second-line nevirapine). The base-case examined South African children initiating ART at age 12 months; sensitivity analyses varied all key model parameters. Outcomes included life expectancy, lifetime costs, and incremental cost-effectiveness ratios [ICERs; dollars/year of life saved (\$/YLS)]. We considered interventions with ICERs less than 1 × per-capita gross domestic product (South Africa: \$7500)/YLS as 'very cost-effective,' interventions with ICERs below 3 × gross domestic product/YLS as 'cost-effective,' and interventions leading to longer life expectancy and lower lifetime costs as 'cost-saving'.

**Results:** Projected life expectancy was 2.8 years with no ART. Both ART regimens markedly improved life expectancy and were very cost-effective, compared to no ART. First-line lopinavir/ritonavir led to longer life expectancy (28.8 years) and lower lifetime costs (\$41 350/person, from lower second-line costs) than first-line nevirapine (27.6 years, \$44 030). First-line lopinavir/ritonavir remained cost-saving or very cost-effective compared to first-line nevirapine unless: liquid lopinavir/ritonavir led to two-fold higher virologic failure rates or 15-fold greater costs than in the base-case, or second-line ART following first-line lopinavir/ritonavir was very ineffective.

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**Conclusions:** On the basis of P1060 data, first-line lopinavir/ritonavir leads to longer life expectancy and is cost-saving or very cost-effective compared to first-line nevirapine. This supports WHO guidelines, but increasing access to pediatric ART is critical regardless of the regimen used.

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## Introduction

Approximately 3 million children live with HIV/AIDS worldwide, and nearly 260 000 infants are HIV-infected each year [1]. HIV-infected children below 3 years of age face high risks of AIDS and death without effective antiretroviral therapy (ART) [2]. The majority of HIV-infected children live in sub-Saharan Africa, where fewer than one-third have access to ART and the optimal sequence of available ART medications remains unknown [3–5]. Most African programs offer two sequential ‘lines’ of pediatric ART: a ‘first-line’ regimen including nevirapine [a non-nucleoside reverse transcriptase inhibitor (NNRTI)], followed after virologic failure by a ‘second-line’ regimen containing lopinavir/ritonavir (a protease inhibitor) [5]. Nevirapine is inexpensive, widely available, and formulated in fixed-dose combinations [6,7].

The recent International Maternal, Pediatric, and Adolescent Clinical Trial (IMPAACT) P1060 study, however, demonstrated superior 72-week suppression of HIV when children aged below 3 years initiated first-line lopinavir/ritonavir compared to first-line nevirapine, regardless of prior antiretroviral drug exposure to prevent mother-to-child HIV transmission [8,9]. The WHO now recommends lopinavir/ritonavir-based first-line ART for children below 3 years old [10]. Liquid lopinavir/ritonavir is needed for children too young to swallow pills; it has a high alcohol content and has been reported to have very poor palatability, relies on a cold chain to the point of distribution, and is four-fold costlier than nevirapine [6,7,11]. Incorporating these tradeoffs in efficacy, tolerance, and cost, we projected the long-term clinical outcomes and cost-effectiveness of first-line nevirapine and lopinavir/ritonavir for HIV-infected children below 3 years of age.

## Methods

### Analytic overview

We used the Cost-Effectiveness of Preventing AIDS Complications (CEPAC)–Pediatric model to evaluate three treatment strategies for HIV-infected children below 3 years

of age: no ART (comparator); first-line nevirapine, followed by second-line lopinavir/ritonavir; and first-line lopinavir/ritonavir, followed by second-line nevirapine [12]. In our base-case analysis, we simulated HIV-infected children presenting to care and initiating ART at 12 months of age in South Africa. In sensitivity analyses, we examined the impact of age at presentation to care and ART initiation (6–35 months), lower healthcare costs from Côte d’Ivoire, and variations in all other clinical and economic parameters, on the comparison of the first-line ART regimens. Model outcomes included yearly survival and healthcare costs over short and long-term time horizons, cohort life expectancy, and average lifetime healthcare costs. We calculated an incremental cost-effectiveness ratio (ICER) for each strategy compared to its next less expensive alternative: difference in lifetime costs divided by difference in life-years (\$/YLS). Following WHO guidance, we considered interventions with ICERs less than three-times per-capita gross domestic product (GDP; South Africa: \$7500, Côte d’Ivoire: \$1200)/YLS to be ‘cost-effective,’ those with ICERs below  $1 \times \text{GDP/YLS}$  to be ‘very cost-effective,’ and those leading to longer projected life expectancy and lower lifetime costs to be ‘cost-saving’ [13,14].

### CEPAC-Pediatric model

The CEPAC–Pediatric model is a microsimulation model of pediatric HIV disease (Appendix, see also <http://web2.research.partners.org/cepac/model.html>) [12]. At the start of each simulation, HIV-infected children draw from distributions of HIV RNA and CD4<sup>+</sup> levels; the model uses CD4<sup>+</sup> percentage (CD4<sup>+</sup>%) for children below 5 years old and absolute CD4<sup>+</sup> cell count thereafter [15]. Current age and CD4<sup>+</sup>% or CD4<sup>+</sup> cell count in each month determine the risks of disease progression, including development of acute opportunistic infections and death. Without effective ART, CD4<sup>+</sup>% or CD4<sup>+</sup> cell count declines monthly. Children can initiate ART based on a combination of observed criteria, including age, CD4<sup>+</sup>%, and diagnosis of opportunistic infections. Once on ART, children have an initial probability of virologic suppression, with a corresponding increase in CD4<sup>+</sup>% or CD4<sup>+</sup> cell count. Among patients with virologic suppression, there is a small monthly risk of subsequent loss of treatment efficacy (‘late failure’). This leads to virologic rebound, CD4<sup>+</sup>% or CD4<sup>+</sup> decline after a

12-month delay and increased risks of disease progression until the next available, effective ART regimen is initiated.

We previously calibrated the model to match survival data for HIV-infected African children not treated with ART [12,16]. For this analysis, we also fitted the model to rates of opportunistic infection (0.7–9.3/100 person-years) and mortality (3.29/100 person-years) from ART-treated children in the P1060 trial, as well as published probabilities of switching from first-line to second-line ART (9–22% at 36 months; Appendix, <http://links.lww.com/QAD/A686>) [8,9].

### Modeled population

In the base-case analysis, we modeled South African children infected during pregnancy/delivery and presenting to care at 12 months of age. Although WHO guidelines combine first-line ART recommendations for all children below 3 years of age, mortality and disease progression differ in infants compared to 2 and 3-year-olds [16,17]. Because HIV-infected children present to ART programs in sub-Saharan Africa at a range of ages, we conducted sensitivity analyses to examine whether age at presentation to care (6, 24, and 35 months) impacted the specific comparison between first-line lopinavir/ritonavir and first-line nevirapine (Table 1) [17,19]. In the absence of data specific to children infected through breastfeeding, who may have slower disease progression than children infected during pregnancy or delivery, we also examined the impact of wide ranges in opportunistic infection and mortality rates [16].

### Modeled treatment strategies

No ART included routine clinical care, as well as opportunistic infection prophylaxis and treatment. First-line nevirapine additionally included nevirapine with abacavir/lamivudine, followed after observed first-line failure by second-line lopinavir/ritonavir/zidovudine/lamivudine. First-line lopinavir/ritonavir included lopinavir/ritonavir with abacavir/lamivudine, followed by a second-line NNRTI (nevirapine if switching before 3 years of age, or efavirenz if switching after age 3 years) with zidovudine/lamivudine [10]. ART initiation, monitoring, and switching followed WHO 2013 Guidelines, with two additions: ART switching before age 3 years and a 6-month delay between confirmation of first-line failure and initiation of second-line ART (Appendix, <http://links.lww.com/QAD/A686>) [10,15]. Given limited current treatment options, modeled children remained on the last available line of ART lifelong [15,20].

### Input data

We used International Epidemiologic Database to Evaluate AIDS (IeDEA) East African data to derive rates of CD4<sup>+</sup>% or CD4<sup>+</sup> decline, opportunistic infections, and death through age 13 years, and data from the Cape Town AIDS Cohort to derive event risks at ages greater than 13 (Appendix, <http://links.lww.com/QAD/A686>)

[21,22]. Base-case ART data were from the P1060 trial, including 24 and 48-week rates of RNA suppression, CD4<sup>+</sup>% gains on suppressive ART, and risk of late failure on each regimen (Table 1; Appendix, <http://links.lww.com/QAD/A686>) [8,9].

We calculated the costs of HIV-related care by multiplying the resources used (e.g. outpatient visits, inpatient days, laboratory testing, and medications) by country-specific unit costs for South Africa and Côte d'Ivoire, using pediatric-specific data when possible (Table 1; Appendix, <http://links.lww.com/QAD/A686>) [23–27]. ART costs were from Clinton Health Access Initiative (CHAI) price lists, calculated for each age from WHO weight-based dosing recommendations (Appendix, <http://links.lww.com/QAD/A686>) [6,11,15]. Costs were from the healthcare system perspective in 2012 USD, discounted at 3% per year.

### Sensitivity analyses

We first simulated children presenting to care at age 6, 24, and 35 months. Next, although we lacked clinical and resource use data to directly simulate children in Côte d'Ivoire, we examined the impact of markedly lower healthcare costs by using cost data from this country. We also varied opportunistic infection and mortality rates (reflecting populations with different disease progression rates, such as children with postpartum infection [16]), healthcare costs, ART costs, and the discount rate (Appendix, <http://links.lww.com/QAD/A686>).

#### *Univariate sensitivity analyses: antiretroviral therapy initiation, switching, and sequencing strategies*

In sensitivity analyses, we also modeled the still widely used WHO 2010 ART initiation guidelines: initiation for all children below 2 years of age, and initiation based on CD4<sup>+</sup>%, CD4<sup>+</sup> cell count, or opportunistic infections in children aged at least 2 years [15]. Modeled monitoring and switching strategies included various CD4<sup>+</sup> and HIV-RNA testing frequencies; delays to confirming first-line ART failure and initiating second-line ART; and clinical, CD4<sup>+</sup>% or CD4<sup>+</sup> cell count, and RNA thresholds for switching to second-line ART (Appendix, <http://links.lww.com/QAD/A686>). Modeled ART sequencing strategies included: one available line of ART, availability of third-line darunavir/ritonavir based ART, and additional strategies requested by the WHO HIV Guidelines Committee (Appendix, <http://links.lww.com/QAD/A686>).

#### *Univariate sensitivity analyses: clinical impact, virologic outcomes, and costs of antiretroviral therapy*

We derived separate RNA suppression rates and CD4<sup>+</sup>% changes on suppressive ART from the PENPACT-1 trial in Europe, and North and South America [28]. In a subgroup of children below 3 years old, PENPACT-1 demonstrated nonsignificantly higher virologic suppression rates with first-line nevirapine than lopinavir/ritonavir, in contrast to P1060 (Table 1). We also varied

**Table 1. Selected model input parameters (children aged 0–5 years).<sup>a</sup>**

Clinical Inputs	Value	Sources
CD4 <sup>+</sup> % at presentation to care, by age		[18]
6 months (sensitivity analysis)	25%	
12 months (base-case)	22%	
24 and 35 months (sensitivity analyses)	19%	
ART efficacy: HIV-RNA <400 copies/ml at 24 weeks on ART <sup>b</sup>	P1060	PENPACT-1
First-line nevirapine strategy		
Nevirapine (in first-line ART)	75%	77%
Lopinavir/ritonavir (in second-line ART)	75%	81%
	(sensitivity analysis: 10–80%)	Derived from [8,9,28]
First-line lopinavir/ritonavir strategy		
Lopinavir/ritonavir (in first-line ART)	91%	72%
Nevirapine (in second-line ART)	75%	74%
	(Sensitivity analysis: 10–80%)	
Darunavir-based regimen (sensitivity analysis)	95% (assumption)	
Risk of virologic failure after suppression (any ART)	0.91% month (Sensitivity analysis: 0.5–3.6%)	Derived from [8,9,28]
Loss to follow-up after ART initiation (% risk/month)	0.2 (Sensitivity analysis: 0–0.8)	[31,32]
Cost Inputs <sup>c</sup>	Cost (2012 USD)	Sources
Opportunistic infection care (per event; range by type of OI)		
South Africa	\$310–2490	[24]
Côte d'Ivoire	\$60–420	[25]
Routine care (per month, range by CD4 <sup>+</sup> %/CD4 <sup>+</sup> ) <sup>d</sup>		
South Africa	\$25–205	[22,23]
Côte d'Ivoire	\$30–40	[25,26]
Care in the last month of life		
South Africa	\$800	[22,23]
Côte d'Ivoire	\$65	[25,26]
Antiretroviral regimen costs (per month, range by age/weight) <sup>e</sup>		
Lopinavir/ritonavir (liquid: age <3 years (base case) or <5 years (sensitivity analyses))	\$17–27 (Sensitivity analysis: ↑1–15x)	[6,11]
Lopinavir/ritonavir (pediatric or adult tablets)	\$13–29	
Nevirapine (pediatric or adult tablets)	\$3–8	
Nevirapine/zidovudine/lamivudine (pediatric tablets, age <3 years)	\$6–8	
Abacavir/lamivudine (pediatric or adult tablets)	\$8–18	
Zidovudine/lamivudine (pediatric or adult tablets)	\$4–9	
Efavirenz (pediatric or adult tablets, age ≥3 years)	\$3–7	
Darunavir/ritonavir-based third-line ART (in sensitivity analyses only)	\$36–92	

<sup>a</sup>This table includes selected inputs for children entering care at ages 0–35 months, which are applied until children reach 59 months of age. At age 60 months and beyond, separate sets of input data are applied. Complete inputs are shown in Appendix Table A (<http://links.lww.com/QAD/A686>) for children aged below and at least 60 months.

<sup>b</sup>ART efficacy: probability of suppressing HIV-RNA to below 400 copies/ml by 24 weeks (in base case analysis) or 48 weeks (in sensitivity analysis) after initiation of ART. Results from the PENPACT-1 trial are from a posthoc subgroup of children limited to those enrolling before 3 years of age and treated with nevirapine or lopinavir/ritonavir [8,9,28].

<sup>c</sup>In sensitivity analyses, all costs were varied from 0.5–2.0 times the costs shown.

<sup>d</sup>Routine clinical care costs include CD4<sup>+</sup> and viral load monitoring, according to the modeled scenario.

<sup>e</sup>Monthly ART drug doses were calculated for children ages 0–13 years old based on the WHO weight-based dosing recommendations. Daily doses were then multiplied by unit drug costs from the May 2012 Clinton Health Access Initiative (CHAI) antiretroviral drug price list to determine monthly ART costs by age and weight. All children were assumed to receive liquid/syrup drug formulations until age 3 years for lopinavir/ritonavir (5 years in sensitivity analyses), and until age 6 months for all other medications, for which dispersible tablets are available. After these ages, children were assumed to transition to pediatric or adult tablet formulations based on weight-based dosing recommendations. Fixed-dose combinations were assumed to be used where available [11]. In the absence of data on darunavir/r costs for children, we assumed third-line ART would have costs equal to twice first-line lopinavir/r-based regimen costs.

the efficacy of each second-line regimen (10–80% 24-week RNA <400 copies/ml); time required for initial RNA suppression (24 or 48 weeks, reflecting slower virologic suppression in children than adults); loss to follow-up before and after ART initiation (0–0.8%/month); and

late failure rates for each regimen (0.5–3.6%/month, reflecting medication availability, tolerance, and adherence over time) [5,29–32]. Next, we varied opportunistic infection and mortality rates for patients on ART, reflecting data from trials and routine care settings

(Appendix, <http://links.lww.com/QAD/A686>) [33,34]. Finally, in the absence of data about cold chain costs, we examined 1–15-fold increases in the cost of liquid lopinavir/ritonavir [35].

### Multivariate sensitivity analyses

We next varied the most influential parameters simultaneously in multivariate sensitivity analyses. These included first-line lopinavir/ritonavir efficacy, first-line nevirapine efficacy, and the cost of both regimens.

## Results

### Base-case results

#### Impact of any antiretroviral therapy regimen

In the base-case, projected undiscounted life expectancy for no ART was 2.8 years (Table 2). Both first-line ART regimens markedly increased projected life expectancy (27.6–28.8 years) compared to no ART. In lifetime projections for South Africa, total healthcare costs were lower with no ART (average undiscounted cost: \$11 450/person) than with either ART regimen. Because ART averts costly opportunistic infections and deaths, care costs for children without ART exceeded the costs of providing both care and ART for 12.4 years (149 months; Fig. 1, open arrow) [36].

#### Comparison of first-line antiretroviral therapy regimens

The first-line lopinavir/ritonavir strategy led to a longer projected life expectancy than the first-line nevirapine strategy (28.8 vs. 27.6 years; Table 2). Although first-line lopinavir/ritonavir was the most expensive ART strategy initially (\$1400 vs. \$1510 at 1 year), it became cost-saving compared to first-line nevirapine by 8.7 years (104 months; Fig. 1, closed arrow). Lifetime costs were \$41 350/person with first-line lopinavir/ritonavir and \$44 030/person with first-line nevirapine (Table 2). Cost-savings resulted primarily from our assumption of lifelong second-line

ART: second-line lopinavir/ritonavir (in the first-line nevirapine strategy) was more expensive than a second-line NNRTI (nevirapine or efavirenz, in the first-line lopinavir/ritonavir strategy), and this difference outweighed the lower costs of nevirapine in first-line ART (Fig. 2). The ICER of first-line lopinavir/ritonavir compared to no ART was \$800/YLS (Table 2). Per cost-effectiveness analysis convention, we do not report ICERs for dominated (more expensive and less effective) strategies [37]. However, it may be of policy interest to note that, if first-line lopinavir/ritonavir were not available, first-line nevirapine would be very cost-effective compared to no ART, with an ICER of \$930/YLS.

### Univariate sensitivity analyses

First-line lopinavir/ritonavir remained cost-saving compared to first-line nevirapine for all ages at presentation (6–35 months) and using Côte d'Ivoire costs (Table 3), although the time required for first-line lopinavir/ritonavir to become cost-saving compared to first-line nevirapine was much longer with Côte d'Ivoire costs than with South Africa costs (26.4 vs. 8.7 years; Appendix, <http://links.lww.com/QAD/A686>). Results were also robust to variation in most clinical and economic parameters (Appendix, <http://links.lww.com/QAD/A686>). Policy conclusions were, however, sensitive to three key types of parameters (Table 3, section B).

#### Reduced availability or duration of second-line antiretroviral therapy: first-line lopinavir/ritonavir is very cost-effective, but not cost-saving

The assumption of lifelong second-line ART was a key factor in the base case results above. When only one line of ART was available, or when second-line ART was stopped at virologic failure, first-line lopinavir/ritonavir remained more effective than first-line nevirapine, but became more expensive. In these scenarios, first-line lopinavir/ritonavir was very cost-effective compared to first-line nevirapine (ICERs: \$2190/YLS and \$570/YLS). As in the base-case, however, first-line lopinavir/

**Table 2. Base-case model results.**

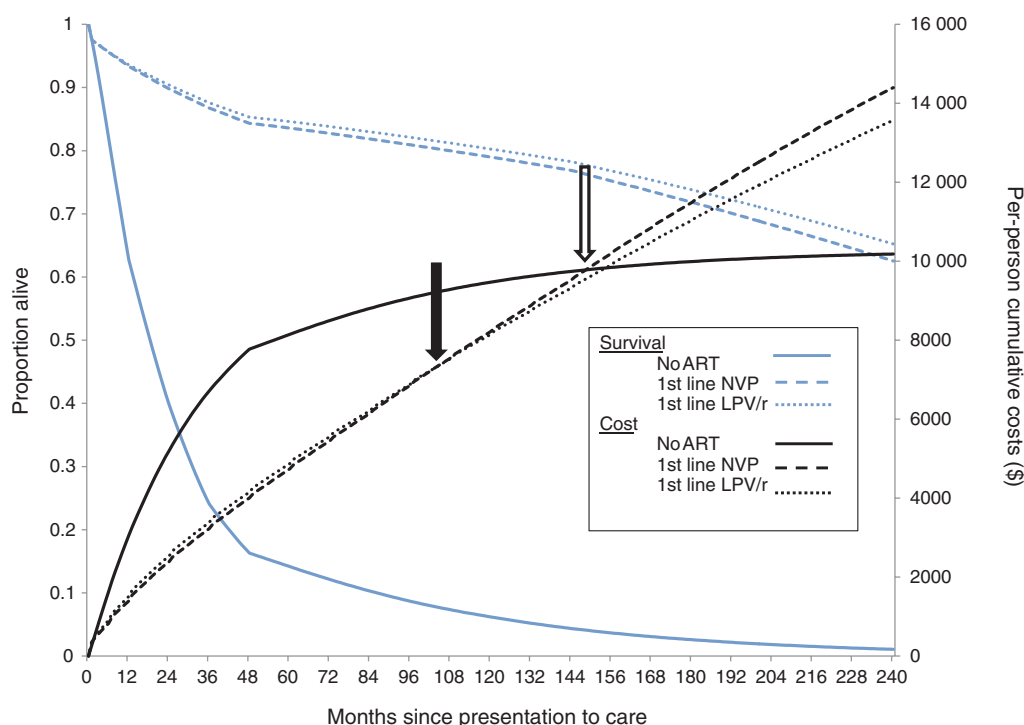
ART strategy	Undiscounted LE (years)	Discounted LE (years)	Undiscounted lifetime costs	Discounted lifetime costs	ICER (\$/life-year saved)
South Africa; children presenting at age 12 months after in-utero/intrapartum infection					
No ART	2.83 <sup>a</sup>	2.52	11 450	10 290	
First-line LPV/r	28.79	17.11	41 350	21 950	800 <sup>b</sup>
First-line NVP	27.61	16.59	44 030	23 370	Dominated <sup>c</sup>

Costs are in 2012 USD. Discounting is at 3% per year. ART, antiretroviral therapy; ICER, incremental cost-effectiveness ratio; LE, life expectancy; LPV/r, lopinavir/ritonavir; NVP, nevirapine.

<sup>a</sup>Life expectancies are mean values projected by the model for a cohort of children presenting to care at 12 months of age. Discounted life expectancies, which value life-years in the future to be worth 'less' than life-years in the present, are not directly comparable to clinical experience.

<sup>b</sup>WHO (WHO-CHOICE) recommendations for country-specific gross domestic product (GDP)-based cost-effectiveness thresholds are based primarily on cost per quality-adjusted life-year saved or cost per disability-adjusted life-year averted. Because of limited health utility weight data in children, we project nonquality-weighted life expectancy, and thus calculate ICERs in dollars per life-year saved.

<sup>c</sup>Dominated: Here, refers to a strategy that is more expensive and less effective than its alternative. This indicates that first-line lopinavir/ritonavir is cost-saving compared to first-line nevirapine in these scenarios. By convention, we do not calculate an ICER comparing these two strategies, and instead calculate the ICER of first-line lopinavir/ritonavir compared to no ART.



**Fig. 1. Projected survival and costs with alternative first-line pediatric antiretroviral therapy regimens.** Results are shown for the base case analysis: South African children presenting to care at 12 months of age. The proportion of patients alive is on the left-hand vertical axis (blue lines) and the per-person cumulative costs are on the right-hand vertical axis (black lines). Survival and per-person undiscounted costs are projected over 20 years (240 months) since presentation to care, shown on the horizontal axis. The no ART strategy is represented by solid lines, first-line NVP by dashed lines, and first-line LPV/r by dotted lines. The solid arrow refers to the time after presentation when first-line LPV/r becomes cost-saving compared to first-line NVP: 104 months (8.7 years). Of note, both ART strategies are cost-saving compared to no ART until 149 months (12.4 years) after presentation (open arrow), due to the high costs of care for opportunistic infections and death compared to medication costs. ART, antiretroviral therapy; LPV/r, lopinavir/ritonavir; NVP, nevirapine.

ritonavir remained cost-saving in South Africa when switching from first-line to second-line ART was delayed (e.g. if HIV-RNA or CD4<sup>+</sup> monitoring was unavailable, or if CD4<sup>+</sup> confirmation of clinical or virologic failure was required) and when the costs of the last available line of ART were equalized by a darunavir/ritonavir-based third-line regimen in both strategies (Appendix, <http://links.lww.com/QAD/A686>).

#### *Virologic outcomes of first-line and second-line antiretroviral therapy: first-line nevirapine is very cost-effective*

When the efficacies of the first-line ART regimens were reversed using PENPACT-1 trial data (Table 1), first-line nevirapine became the more effective and more expensive strategy, and was very cost-effective compared to no ART (ICER: \$810/YLS) [28]. If first-line lopinavir/ritonavir and first-line nevirapine efficacies were held constant (using P1060 data, as in the base-case), but the efficacy of a second-line NNRTI following first-line lopinavir/ritonavir was very low ( $\leq 40\%$  RNA suppression at 24 weeks), first-line nevirapine was also more effective, more expensive, and very cost-effective

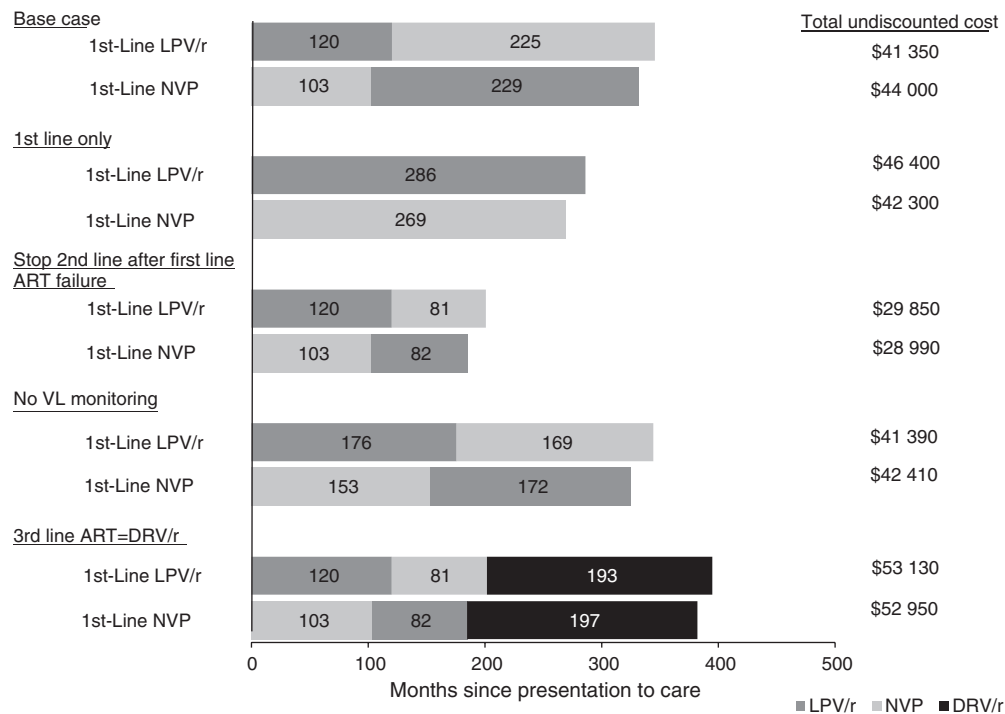
(ICER: \$1110/YLS at 40% suppression) compared to first-line lopinavir/ritonavir.

#### *Tolerability and cost of liquid lopinavir/ritonavir: first-line nevirapine is very cost-effective*

When the risk of late virologic failure for lopinavir/ritonavir in first-line ART was increased 2.1-fold to 1.9%/month (e.g. as might occur with poor tolerability or medication stock-outs of lopinavir/ritonavir syrup), first-line nevirapine was more effective and very cost-effective compared to first-line lopinavir/ritonavir (ICER: \$6310/YLS). When the cost of liquid lopinavir/ritonavir was increased 4.5-fold from the base-case (e.g. reflecting costs for establishing and maintaining cold chains), there was no change in projected life expectancies, but first-line lopinavir/ritonavir was no longer cost-saving. At least 15-fold increases in liquid lopinavir/ritonavir costs, first-line lopinavir/ritonavir was no longer very cost-effective.

#### **Multivariate sensitivity analyses**

Results of simultaneous variation in first-line ART efficacy and the costs of lopinavir/ritonavir and nevirapine are shown in Fig. 3. When the efficacy



**Fig. 2. Duration on each modeled antiretroviral therapy regimen and impact on total lifetime healthcare costs.** Months spent on NVP-based ART (light gray bars), LPV/r-based ART (dark gray bars), and DRV/r-based ART (black bars) are shown, in undiscounted months, for base case and key sensitivity analyses described in the text. Total per-person, undiscounted lifetime costs are shown at the right of the bars for each treatment strategy. Results shown here are for children presenting to care at 12 months of age in South Africa; findings for children presenting at other ages and using healthcare costs from Côte d’Ivoire followed similar trends. ART, antiretroviral therapy; DRV/r, darunavir boosted with ritonavir; LPV/r, lopinavir/ritonavir; NVP, nevirapine; VL, viral load.

(proportion of treated children with HIV-RNA <400 copies/ml at 24 weeks) of one first-line regimen is greater than the efficacy of the other, GDP-based interpretation of cost-effectiveness generally supports the choice of the more effective first-line regimen (panel A). Because lopinavir/ritonavir and nevirapine are used in both strategies (as either first-line or second-line ART), variations in drug costs exert only a modest impact on the economically preferred strategy (panels B and C).

## Discussion

We used data from the IMPAACT P1060 trial and other sources to estimate the cost-effectiveness of first-line ART for children below 3 years of age in sub-Saharan Africa. There were four key findings from this study. First, treating HIV-infected children with any ART regimen dramatically increased survival; ART also saved money in the short term by averting opportunistic infections, as has previously been reported in South Africa [36]. Compared to no ART, both regimens were very cost-effective in the long term (ICER of first-line lopinavir/ritonavir compared to no ART: \$800/YLS; if first-line lopinavir/ritonavir was not available, ICER of first-line nevirapine compared to no

ART: \$930/YLS). In addition, projected life expectancies were longer for children presenting to care at older compared to younger ages (Table 3). This result reflects very high morbidity and mortality among young infants who do not access care or ART [16,19,38]. Because children who do not survive to access ART were by definition excluded from these analyses, our results are not intended to compare the relative value of ART initiation at older versus younger ages. Increasing access to early pediatric HIV diagnosis and to pediatric ART is therefore critical, regardless of the ART regimen chosen.

Second, first-line lopinavir/ritonavir was cost-saving compared to first-line nevirapine over children’s lifetimes. This results both from the greater lopinavir/ritonavir efficacy reported in P1060, averting more care costs for morbidity and mortality in the first few years after ART initiation than nevirapine, and from the assumption of lifelong second-line ART (Fig. 2) [10,15]. Importantly, these cost-savings occurred well beyond the horizons of clinical trials: more than 8 years after ART initiation in South Africa, and more than 26 years after ART initiation with lower healthcare costs from Côte d’Ivoire. In the much nearer future, however, the availability and costs of second-line and third-line pediatric ART will likely change, as will ART monitoring and switching protocols.

**Table 3. Sensitivity analysis results.**

ART strategy (ordered by costs) <sup>a</sup>	Undiscounted LE (years)	Discounted LE (years)	Discounted lifetime costs	ICER (\$/life-years saved)
<b>Alternative patient populations and healthcare costs</b>				
South Africa: in-utero/intrapartum infection; presenting age 6 months				
No ART	2.50	2.23	8520	
First-line LPV/r	27.45	16.31	20 620	860
First-line NVP	26.29	15.81	21 960	Dominated <sup>b</sup>
South Africa: in-utero/intrapartum infection; presenting age 24 months <sup>c</sup>				
No ART	3.54	3.09	12 670	
First-line LPV/r	28.71	17.10	27 220	710
First-line NVP	27.52	16.59	29 600	Dominated
South Africa: in-utero/intrapartum infection; presenting age 35 months <sup>c</sup>				
No ART	4.71	4.03	14 420	
First-line LPV/r	29.46	17.60	23 240	650
First-line NVP	28.36	17.11	24 790	Dominated
Côte d'Ivoire: in-utero/intrapartum infection; presenting age 12 months <sup>d</sup>				
No ART	2.83	2.52	1820	
First-line LPV/r	28.79	17.11	15 120	910
First-line NVP	27.62	16.58	15 480	Dominated
<b>Additional sensitivity analyses (South Africa, in-utero/intrapartum infection; presenting age 12 months)</b>				
One line of ART available				
No ART	2.83	2.52	10 290	
First-line NVP <sup>a</sup>	22.42	14.57	24 890	1210
First-line LPV/r	23.84	15.31	26 490	2190
Stop second-line ART at failure				
No ART	2.83	2.52	10 290	
First-line NVP <sup>a</sup>	23.76	15.03	17 360	565
First-line LPV/r	25.18	15.74	17 760	570
PENPACT-1 ART efficacies				
No ART	2.83	2.52	10 290	
First-line LPV/r	29.28	16.96	22 240	Weakly dominated <sup>e</sup>
First-line NVP	30.42	17.39	22 370	810
Second-line NNRTI efficacy (40%)				
No ART	2.83	2.52	10 290	
First-line LPV/r	26.51	16.26	23 010	930
First-line NVP	27.61	16.59	23 370	1110
2.1 × late failure for first-line LPV/r (1.9%/month) <sup>f</sup>				
No ART	2.83	2.52	10 290	
First-line LPV/r	26.60	16.38	22 070	850
First-line NVP	27.61	16.59	23 370	6310
4.5 × cost of liquid LPV/r (\$80–105 per month for children <3 years of age)				
No ART	2.83	2.52	10 290	
First-line NVP <sup>a</sup>	28.77	16.59	23 480	Weakly dominated
First-line LPV/r	27.58	17.10	23 510	910
15.0 × cost of liquid LPV/r (\$260–330 per month for children <3 years of age)				
No ART	2.83	2.52	10 290	
First-line NVP <sup>a</sup>	27.58	16.58	23 780	960
First-line LPV/r	28.79	17.09	28 170	8640
Liquid LPV/r used until age 5				
No ART	2.83	2.52	10 290	
First-line LPV/r	28.77	17.09	22 730	850
First-line NVP	27.59	16.60	23 440	Dominated

Costs are in 2012 USD. Discounting is at 3% per year (results using alternative discount rates are shown in the Appendix (<http://links.lww.com/QAD/A686>). ART, antiretroviral therapy; DRV/r, darunavir/ritonavir; ICER, incremental cost-effectiveness ratio; LE, life expectancy; LPV/r, lopinavir/ritonavir; NNRTI, nonnucleoside reverse transcriptase inhibitor; NVP, nevirapine.

<sup>a</sup>Strategies are listed in order of increasing costs. As a result, the order of the three treatment strategies changes between scenarios. Scenarios in which first-line NVP is less expensive over a lifetime horizon than first-line LPV/r are highlighted with footnote (a).

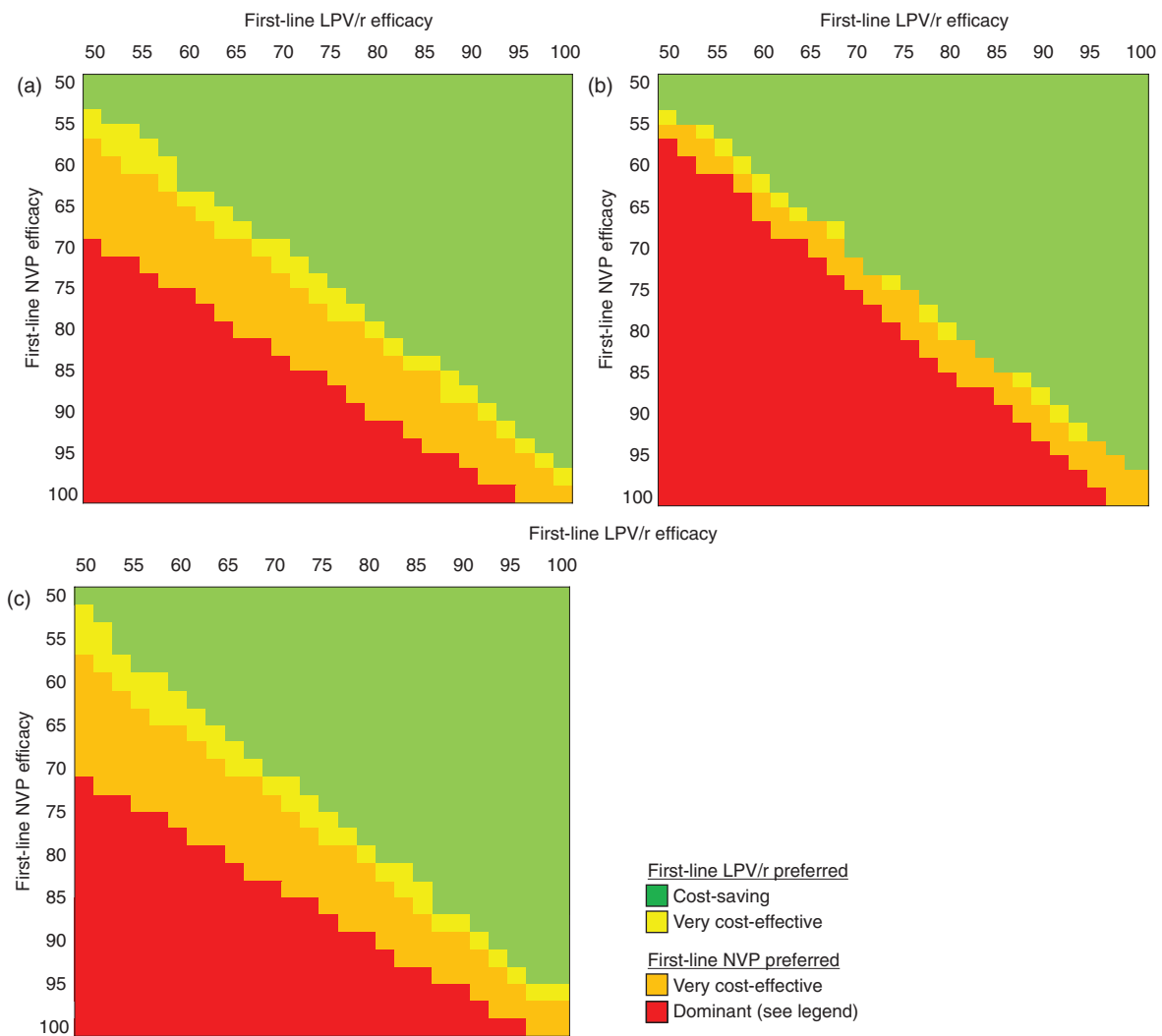
<sup>b</sup>'Dominated' in this table refers to strong dominance: a strategy is both more expensive and less effective than its next less expensive alternative.

<sup>c</sup>In these analyses, the model simulates a cohort of children presenting to care and initiating ART at ages 6, 12, 24, and 35 months. Morbidity and mortality occurring among children before these ages are not included in these analyses. As a result, children presenting to care at older ages have longer projected life expectancies both with and without ART. This occurs because the model incorporates age-stratified mortality risks from HIV and non-HIV causes. High mortality rates among young, untreated children mean that children who survive without treatment to present to care at older ages are generally less sick, reflecting the 'survivor bias' seen in most cohorts of HIV-infected children [16–18]. These analyses are intended to evaluate the impact of age at ART initiation on the comparison between the two first-line regimens, and not to compare the outcomes of early versus delayed ART initiation.

<sup>d</sup>Base-case results using Côte d'Ivoire costs are shown here. Full results for all analyses using Côte d'Ivoire costs are in the Appendix (<http://links.lww.com/QAD/A686>).

<sup>e</sup>Weakly dominated. Here, refers to extended dominance: the incremental cost-effectiveness ratio (ICER) of the nondominated strategy compared to the dominated strategy is less than the ICER of the dominated strategy compared to no ART, indicating that the dominated strategy is an inefficient use of healthcare resources.





**Fig. 3. Multivariate sensitivity analyses: impact of simultaneous variation in antiretroviral therapy efficacy and costs.** In each panel, the efficacy (proportion of treated children with HIV-RNA <400 copies/ml at 24 weeks) of the first-line LPV/r regimen is shown on the horizontal axis, and the efficacy of the first-line NVP regimen is shown on the vertical axis. Panel a shows results at base-case ART costs. Panel b shows results when the cost of LPV/r is reduced by half (when used in first-line or second-line ART, at any age). Panel c shows results when the cost of NVP is reduced by half (again, when used in first-line or second-line ART, at any age). Costs and life-years are discounted at 3% per year. Green shading indicates scenarios in which the first-line LPV/r strategy is cost-saving (leading to greater life expectancy and lower lifetime costs), compared to the first-line NVP strategy. Yellow shading indicates scenarios in which first-line LPV/r is very cost-effective compared to first-line NVP (ICER of first-line LPV/r compared to first-line NVP is less than South Africa per-capita GDP (\$7500)/YLS, or first-line NVP is more effective and more expensive, but its ICER compared to first-line LPV/r is greater than \$7500/YLS). Orange shading indicates scenarios in which first-line LPV/r is no longer very cost-effective (first-line LPV/r is more effective and more expensive than first-line NVP, but its ICER relative to first-line NVP is >\$7500/YLS, or first-line NVP is more effective and more expensive, and its ICER relative to first-line LPV/r is <\$7500). Red shading indicates scenarios in which first-line LPV/r is strongly or weakly dominated by first-line NVP (weak dominance: first-line LPV/r is less effective and less expensive, but represents an inefficient use of resources; strong dominance: first-line LPV/r leads to lower life expectancy and greater lifetime costs). Following WHO GDP-based guidance, cost-effectiveness results support the choice of first-line LPV/r in the green- and yellow-shaded scenarios and the choice of first-line NVP in the orange- and red-shaded scenarios. ART, antiretroviral therapy; GDP: gross domestic product; ICER, incremental cost-effectiveness ratio; LPV/r, lopinavir/ritonavir; NVP, nevirapine; YLS, year of life saved.

If the relative duration and cost of first-line compared to second-line ART are altered, first-line lopinavir/ritonavir may no longer be cost-saving. First-line lopinavir/ritonavir remained more effective than first-line

nevirapine, and very cost-effective, in many of the scenarios that we evaluated, however, suggesting that it will likely remain of good value as second-line and third-line ART practices evolve.

Third, cost-effectiveness results depended on the relative efficacy of lopinavir/ritonavir and nevirapine in both first-line and second-line ART. The importance of first-line efficacy was demonstrated by PENPACT-1 trial data. If first-line nevirapine has higher rates of virologic suppression than lopinavir/ritonavir, as in the PENPACT-1 subgroup of children below 3 years old, then the first-line nevirapine strategy will be more effective and cost-effective in some settings. The nonsignificant superiority of nevirapine in PENPACT-1 was based on a small post-hoc subgroup analysis; the primary outcome of 4-year change in HIV-RNA was not different between children randomized to protease inhibitor versus NNRTI-based ART [28].

The importance of second-line ART efficacy was highlighted in sensitivity analyses which varied this parameter. The first-line nevirapine/second-line lopinavir/ritonavir sequence is widely used, with excellent second-line lopinavir/ritonavir outcomes in children and adults [29,39,40]. The first-line lopinavir/ritonavir/second-line NNRTI sequence is infrequently described. Sparse observational data suggest 24–48-week suppression rates of 16–45% in children and adults on second-line nevirapine or efavirenz [29,40–42]. These low rates may reflect poor adherence, or may result from accumulation of resistance to nucleoside reverse transcriptase inhibitors (zidovudine, lamivudine, and abacavir) on failing protease inhibitor-based ART, causing the NNRTI used with these drugs in second-line ART to act essentially as monotherapy. This was likely a greater concern with older protease inhibitor regimens (e.g. full-dose ritonavir) [42]. In contrast, PENPACT-1 and the South African Children with HIV Early Antiretroviral (CHER) trial reported few resistance mutations even when children remained on failed protease inhibitor-based ART, and both PENPACT-1 and P1060 observed second-line NNRTI suppression rates greater than 70%, although in small numbers of children [8,9,28,43]. We found that the efficacy of second-line NNRTI-based ART needed to be very low ( $\leq 40\%$  RNA suppression at 24 weeks) to render the first-line lopinavir/ritonavir strategy no longer more effective or very cost-effective.

Fourth, lopinavir/ritonavir for young children is formulated as a syrup, which requires refrigeration and, although well tolerated in P1060, may cause adverse gastrointestinal effects [5,8,9]. Although we lacked data on the cost of establishing and maintaining cold chains, we found that these would need to increase the total cost of liquid lopinavir/ritonavir more than 4.5-fold to render the first-line lopinavir/ritonavir strategy no longer cost-saving. Cold chains may, however, be infeasible in some settings with severely constrained human resources and healthcare infrastructure, regardless of cost [35]. We also examined the impact of increased risks of virologic failure after the first 6 months of first-line lopinavir/ritonavir, such as might occur if lopinavir/ritonavir syrup is difficult for young

children to tolerate, and thus leads to low adherence over time, or if medication stock-outs lead to development of drug-resistant HIV [7]. We found that this late failure risk would need to increase by only 2.1-fold, from 0.9 to 1.9%/month, to change policy conclusions. Novel formulations, such as the heat-stable lopinavir/ritonavir sprinkles that may soon be US Food and Drug Administration (FDA)-approved [44,45], or a strategy of switching to nevirapine or efavirenz after suppression on lopinavir/ritonavir, may reduce the costs and improve the tolerability of a first-line lopinavir/ritonavir regimen for children too young to swallow pills [30,46].

The present study has several limitations related to model-based cost-effectiveness analyses. Model-based analyses necessarily extrapolate long-term outcomes from short-term data. Because pediatric ART was introduced less than 10 years ago in most African programs, long-term outcomes for children on currently used ART regimens in Africa are not yet available. We therefore calibrated our model to fit short-term opportunistic infection and mortality observations for ART-treated children (Appendix, <http://links.lww.com/QAD/A686>) [8,9]. This led to projected life expectancies (27–28 years) comparable to estimates for young South African adults, but necessarily longer than the current experience of clinicians caring for HIV-infected children [47]. We examined this uncertainty through a range of scenarios leading to shorter and longer life expectancies, and the clinical and economic value of first-line lopinavir/ritonavir remained unchanged. In addition, GDP-based cost-effectiveness thresholds raise important concerns about generalizability and equity across countries, and do not address possible changes in country GDPs over time [48]. Although an alternative approach has not been widely accepted, comparing results to published ICERs for common HIV therapies (\$500–5000/YLS), rather than to GDP thresholds, did not change policy conclusions [49]. Finally, by convention, cost-effectiveness analysis considers long-term (lifetime) horizons [37]. Cost-effectiveness is not the same as affordability, however, and is only one of the many critical factors in decision-making. We also present detailed survival and cost estimates for each year of our simulation (Fig. 2; Appendix Fig. A, <http://links.lww.com/QAD/A686>). Given limited current ART availability, providing nevirapine-based ART to greater numbers of children, even if it may be slightly less effective in the short term and more expensive in the long term, may be preferred by some decision-makers.

Additional limitations relate to trial strategies and data availability. We simulated the regimens in the P1060 trial; following the trial protocol, we did not model co-administration with tuberculosis therapy. If efavirenz or other medications that can be co-administered with tuberculosis therapy become widely used for children below 3 years old, the benefit of first-line lopinavir/ritonavir may change [50]. Although we modeled

children infected during pregnancy or delivery, sensitivity analyses reflecting lower risks of opportunistic infections and mortality suggest that conclusions would be similar for postnatally HIV-infected children [16]. Finally, we did not have detailed data for children in Côte d'Ivoire; we used clinical data from East and Southern Africa and varied only costs. Although our approach to deriving healthcare costs matched published economic data from South Africa and Zambia (a low-income country with GDP similar to Côte d'Ivoire), such an approach excluded country-specific factors, such as reduced malaria risk with protease inhibitors, which might change the clinical and cost-effectiveness of first-line lopinavir/ritonavir [36,51,52].

In conclusion, we find that treating HIV-infected children with any ART regimen dramatically increases life expectancy, is cost-saving in the short term, and is very cost-effective in the long term, compared to no ART. On the basis of data from the P1060 trial with the assumption of lifelong, currently available second-line ART, a first-line regimen including lopinavir/ritonavir will improve outcomes and save money compared to a first-line regimen including nevirapine. These findings support the adoption of WHO 2013 HIV treatment guidelines in most settings in which lopinavir/ritonavir is feasible. Increasing the availability of pediatric ART is critical and will be of excellent value, regardless of the initial regimen used.

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## Conflicts of interest

All authors report that they have no conflicts of interest to disclose.

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